



Distr.: General
23 March 2016

English only

**Open-ended Working Group of the Basel Convention
on the Control of Transboundary Movements of
Hazardous Wastes and Their Disposal
Tenth meeting**

Nairobi, 30 May–2 June 2016

Item 3 (a) (iii) of the provisional agenda*

**Matters related to the work programme of the
Open-ended Working Group for 2016–2017:
strategic issues: Cartagena Declaration on the
Prevention, Minimization and Recovery of
Hazardous Wastes and Other Wastes**

**Draft outline of guidance to assist parties in developing efficient
strategies for achieving the prevention and minimization of the
generation of hazardous and other wastes and their disposal**

Note by the Secretariat

As referred to in the notes by the Secretariat on developing guidelines for environmentally sound management (UNEP/CHW/OEWG.10/3) and on the Cartagena Declaration on the Prevention, Minimization and Recovery of Hazardous Wastes and Other Wastes (UNEP/CHW/OEWG.10/4), the annex to the present note sets out a draft outline for guidance to assist parties in developing efficient strategies for achieving the prevention and minimization of the generation of hazardous and other wastes and their disposal. The present note, including its annex, has not been formally edited.

*UNEP/CHW/OEWG.10/1.

Annex

Draft outline of guidance to assist parties in developing efficient strategies for achieving the prevention and minimization of the generation of hazardous and other wastes and their disposal

The table of contents in part I of this annex sets out the proposed structure and notes on the content of guidance to be developed by the expert working group on environmentally sound management (EWG) to assist Parties as appropriate in developing efficient strategies to achieve the prevention and minimization of the generation of hazardous wastes and other wastes and their disposal, pursuant to decision BC-12/2.

A schedule of past and planned activities of the EWG for the period between March and November 2016 in relation to the development of this guidance is set out in part II of this annex.

I. Table of contents

Chapter	Notes
1. Introduction	
1.1 Context leading to the development of the guidance	For example Indonesian-Swiss Country-led initiative to improve the effectiveness of the Basel Convention (CLI); Cartagena Declaration on the Prevention, Minimization and Recovery of Hazardous Wastes and Other Wastes; Strategic Framework goals and objectives
1.2 Vision of the guidance	Reflecting the Strategic Framework vision to “...protect human health and the environment by controlling transboundary movements of hazardous and other wastes and by ensuring and strengthening the environmentally sound management of such wastes as a contribution to promoting sustainable livelihoods and attaining the Millennium Development Goals.” ¹
1.3 Guiding principles	Reflecting the guiding principles of the Strategic Framework and sustainable waste management sources, the waste management hierarchy, cradle-to-cradle and polluter pays principles, as well as internalization of costs
1.4 Objectives of the strategies	
1.5 Means of implementation	Resources required, partnerships
1.6 Target groups to whom the guidance is aimed	For example Basel Convention Parties, regional approach
1.7 Scope of the guidance	
2. Role of waste prevention and minimization	
2.1 Definitions of waste prevention and minimization as referred to in the guidance	Consideration of / reference to the EWG prevention manual ²

¹ The target date for the Millennium Development Goals was 2015, following which the United Nations General Assembly adopted a new post-2015 development agenda, including seventeen sustainable development goals

² UNEP/CHW/OEWG.10/INF/3.

Chapter	Notes
2.2 What is included in and excluded from the guidance	Reference to relationship with the glossary of terms developed by the small intersessional working group on legal clarity and the ESM terminology manual ³ developed within the context of the EWG
2.3 Waste prevention and minimization	The content could include consideration of waste prevention and minimization: (a) In the context of the Basel Convention; (b) In relation to sustainable waste management e.g. sustainable consumption and production (SCP), cradle-to-cradle and life cycle approaches, circular economy, extended producer responsibility (EPR), producer pays responsibility, source reduction etc; (c) In the context of implementation of the Sustainable Development Goals (SDGs).
3. Prerequisites for waste prevention and minimization	
3.1 Legislation	
3.2 Plans and programs	
3.3 Goals and objectives	
3.4 Available information at international, regional, national and local levels including models, best environmental practices	
3.5 Approaches to addressing challenges (involvement of different ministries linked with socio-economic development and environmental quality), involvement of industry and civil society, incentives	
3.6 Initiatives to strengthen and enhance collaboration between sectors and stakeholders including building partnerships	For example between government and the private sector
3.7 Capacity building, skills development and technical assistance aspects	Aspects related to the Basel Convention Regional Centres (BCRCs), National Cleaner Production Centres (NCPCs), donors
3.8 Synergies with other related conventions, programs and processes	
3.9 Legislation	
4. Promotion aspects	
4.1 By whom, to whom, how to involve all relevant stakeholders	For example high level international dialogues, private sector engagement including NGOs and civil society

³ UNEP/CHW/OEWG.10/INF/3.

Chapter	Notes
5. Measures and tools	
5.1 Incorporation and application of waste management hierarchy in waste management policies including elements to encourage waste prevention and minimization by the private sector	
5.2 Waste stream	For example Waste Electrical and Electronic Equipment (WEEE), plastics, waste packaging containing hazardous substances, medical/pharmaceutical wastes
5.3 Target group approaches	For example targeted at specific sectors in the manufacturing industry, construction industry, households, informal waste recyclers in particular for WEEE
5.4 Policy measures adopted to encourage prevention and minimization	For example EPR, producer pays responsibility
5.5 Examples of policy measures: general, legislative, voluntary	
5.6 Development of environmental indicators related to waste management	
5.7 Measures and tools to formalise the informal waste sector e.g. incentives, licensing, training, information technology innovation etc.	
6. Benefits of waste prevention and minimization	
6.1 Outline of benefits that may result from waste prevention and minimization	To include consideration of socio-economic factors and impact on: (a) Efficient production practices; (b) Economic returns; (c) Profile and public relations; (d) Quality of products produced and services delivered; Environmental responsibility and ability to comply with applicable environmental regulations, policies and standards.
7. Review process	
7.1 Indicators of performance	Assessment of impacts of measures
7.2 Monitoring of implementation	
7.3 Evaluation	
8. Additional references	List of strategic and reference documents, e.g. Rio+20, United Nations Environment Assembly (UNEA) and United Nations Environment Programme Governing Council (UNEP GC) decisions, relevant regional documents, SCP Framework, United Nations Sustainable Development Agenda and its SDGs and targets

II. Schedule of past and planned activities of the expert working group on environmentally sound management in relation to development of guidance on waste prevention and minimization (March–November 2016)

Activity	Deadline	Stakeholder
Start preparation of first draft detailed text of guidance document	Immediate	Lead members for sections, with support from Secretariat and EWG small group
Second teleconference of EWG small group	1 March 2016	EWG small group
Preparation of OEWG-10 information document (Detailed table of contents and draft schedule)	1 March 2016	EWG, with support from the Secretariat
Tenth meeting of the Open-ended Working Group (OEWG-10)	30 May – 2 June 2016	Parties and observers
Circulation of first draft detailed text of guidance document to EWG	30 June 2016	EWG small group
Comments on first draft by EWG	13–15 July 2016 (EWG5 meeting)	EWG
Circulation of second draft of detailed text of guidance, inviting comments by Parties and observers	31 August 2016	EWG small group and Secretariat
Deadline for comments by Parties and observers	30 September 2016	Parties and observers
Continue revision of third draft detailed text of guidance document	1 October 2016	EWG small group
Circulation of third draft detailed text of guidance document to EWG	15 October 2016	EWG small group
Promotion of guidance document	Continuous	EWG, with support from the Secretariat
Initial evaluation and review for inclusion in report to COP (Draft Guidance and evaluation)	9 November 2016	EWG
Finalisation of report to COP	9 November 2016	EWG