



Distr.: General

12 May 2016

English only

---

**Open-ended Working Group of the Basel Convention  
on the Control of Transboundary Movements of  
Hazardous Wastes and Their Disposal  
Tenth meeting**

Nairobi, 30 May–2 June 2016

Item 3 (a) (ii) of the provisional agenda\*

**Matters related to the work programme of the  
Open-ended Working Group for 2016–2017:  
strategic issues: developing guidelines for  
environmentally sound management**

**Report of the activities undertaken by the expert working group  
on environmentally sound management**

**Note by the Secretariat**

As referred to in the note by the Secretariat on developing guidelines for environmentally sound management (UNEP/CHW/OEWG.10/3), the annex to the present note sets out a report of the activities undertaken by the expert working group on environmentally sound management. The present note, including its annex, has not been formally edited.

---

\* UNEP/CHW/OEWG.10/1.

## Annex

## Report of the activities undertaken by the expert working group on environmentally sound management

- In paragraphs 5 and 6 of decision BC-12/1 on the follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention, the Conference of the Parties adopted the work programme of the expert working group on environmentally sound management (ESM) set out in the annex to that decision, extended the mandate of the group and requested it to develop activities, subject to the availability of resources, to implement its work programme.
- The table presented below provides a report on the activities undertaken by the expert working group since the adoption of its work programme at the twelfth meeting of the Conference of the Parties.

Activity	Responsibility / Involvement	Status of activity	Reference
<b>I. Development of practical manuals</b>			
Terminology	Expert working group; Secretariat	Draft manuals revised on basis of comments received following COP 12 and at fourth meeting of the expert working group (EWG 4)	Revised draft manuals are available in document UNEP/CHW/OEWG.10/INF/3
General policies and legislation			
Permits, Licenses or Authorizations			
Certification schemes			
Waste Prevention			
<b>II. Development of waste stream fact sheets</b>			
Fact sheets on certain waste streams	Expert working group; Secretariat; Consultant	Draft waste stream fact sheets revised on basis of comments received following COP 12 and at EWG 4	Revised waste stream fact sheets are available in document UNEP/CHW/OEWG.10/INF/4
<b>III. Pilot projects to demonstrate ESM</b>			
Assessing if insurance, bond or other guarantees required by Article 6 paragraph 11 of the Basel Convention for a transboundary movement ensures the ESM of the hazardous wastes involved (funded by Switzerland)	BCRC Argentina; participating countries; Steering group on pilot projects; Secretariat; Other Basel Convention subsidiary bodies	Implementation expected to commence in June 2016	The proposal for this project was presented to the third meeting of the expert working group (EWG 3) and is available in document UNEP/CHW/CLI_EWG.3/INF/11
Assessing ESM status of used lead acid batteries (ULAB) treatment and transboundary movement in China and selected countries (funded by Switzerland)	Government of China; participating countries; Steering group on pilot projects; Secretariat	Implementation expected to commence in June 2016	The proposal for this project was presented to EWG 3 and is available in document UNEP/CHW/CLI_EWG.3/INF/11
Promoting environmentally sound co-processing of hazardous wastes in industry kilns in selected countries in Asia (funded by Japan and Norway)	BCRC China; participating countries; Steering group on pilot projects; Secretariat	Implementation expected to commence after June 2016	The proposal for this project was presented to EWG 4 and is available in document UNEP/CHW/CLI_EWG.4/INF/7
Environmentally sound management of spent lighting products in Chile (funded by Japan and Norway)	Government of Chile; relevant BCRCs; Steering group on pilot projects; Secretariat	Implementation expected to commence after June 2016	The proposal for this project was presented to EWG 4 and is available in document UNEP/CHW/CLI_EWG.4/INF/7

Activity	Responsibility / Involvement	Status of activity	Reference
Conducting an inventory, awareness raising and training to enhance, promote and establish environmentally sound management of e-waste in Liberia (funded by Japan and Norway)	Government of Liberia; relevant BCRCs; Steering group on pilot projects; Secretariat	Implementation expected to commence after June 2016	The proposal for this project was presented to EWG 4 and is available in document UNEP/CHW/CLI_EWG.4/INF/7
Pilot projects to test the manuals and fact sheets developed by the expert working group (funded by European Union)	Expert working group; European Union; BCRCs; participating countries; Secretariat	Pilot projects expected to commence after fifth meeting of expert working group (EWG 5 - July 2016)	N/A
Arrangements for pilot project selection, implementation, evaluation and reporting	Expert working group; Secretariat	Draft arrangements to be considered and further developed at EWG 5	N/A
<b>IV. Road map for the Cartagena Declaration on the Prevention, Minimization and Recovery of Hazardous Wastes and Other Wastes</b>			
Development of guidance to assist parties in developing efficient strategies for achieving the prevention and minimization of the generation of hazardous and other wastes and their disposal	Expert working group; Secretariat	Draft guidance to be further developed and submitted to COP 13 for its consideration	A draft outline of the guidance is available in document UNEP/CHW/OEWG.10/INF/5
<b>V. Development of new manuals</b>			
Manuals on Extended Producer Responsibility (EPR) and Financing systems for ESM	Expert working group; Secretariat	Draft manuals to be further developed and submitted to COP 13 for its consideration	Work programme of the expert working group (decision BC-12/1)
<b>VI. Information gathering and sharing</b>			
Development of an internet portal	Expert working group; Secretariat; Consultant	Draft for portal development to be considered and further developed by EWG 5	Work programme of the expert working group (decision BC-12/1)
Development of an e-learning course	Expert working group; Secretariat; Consultant	Outline of e-learning course to be considered and further developed by EWG 5	Work programme of the expert working group (decision BC-12/1)
Identification of existing training programmes and activities that might promote the ESM Toolkit	Expert working group; Secretariat; Consultant	To be progressed interessionally (prior to COP 13)	Work programme of the expert working group (decision BC-12/1)
Dissemination of information on ESM through other platforms – e.g. webinars, printed materials, etc.	Expert working group; Secretariat	Work on various activities is ongoing and being planned.  Report of small group on information exchange to be considered by EWG 5 and is expected to lead to further development of activities	Work programme of the expert working group (decision BC-12/1)
<b>VII. Other initiatives</b>			
Development of a questionnaire/checklist for self-assessment of national ESM capacity	Expert working group; Secretariat; Consultant	To be considered and further developed by EWG 5	Work programme of the expert working group (decision BC-12/1)
Promotion of ESM in the informal sector	Expert working group; Secretariat	Comments gathered from expert working group; consideration of	Work programme of the expert working group (decision BC-12/1)

Activity	Responsibility / Involvement	Status of activity	Reference
Further development of private sector incentives report	Expert working group; Secretariat	next steps at EWG 5 Analysis of benefits of ESM to be included in report; report to be cleared by expert working group, formatted into publication and published online	The latest version of the report on private sector incentives is available in document UNEP/CHW.12/INF/6