

**Open-ended Working Group of the Basel Convention
on the Control of Transboundary Movements of
Hazardous Wastes and Their Disposal
Tenth meeting**

Nairobi, 30 May–2 June 2016
Agenda item 3 (a) (ii)

**Matters related to the work programme of the
Open-ended Working Group for 2016–2017:
strategic issues: developing guidelines for
environmentally sound management**

**Draft decision OEWG-10/[...]: Developing guidelines for
environmentally sound management**

Submission by the strategic matters contact group

The Open-ended Working Group

1. *Expresses its appreciation* to the expert working group on environmentally sound management and its co-chairs for their work and takes note of the report on the activities undertaken by the group;¹
2. *Requests* the expert working group on environmentally sound management to continue to develop activities, subject to the availability of resources, to implement its work programme;
3. *Welcomes* the set of revised draft practical manuals for the promotion of the environmentally sound management of wastes² and the revised draft fact sheets on specific waste streams;³
4. *Invites* parties and others to submit comments on the set of revised draft practical manuals and the revised draft fact sheets referred to in paragraph 3 above to the Secretariat by 30 September 2016;
5. *Also invites* parties and others to test the set of revised draft practical manuals and the revised draft fact sheets referred to in paragraph 3 above and to provide comments to the Secretariat for consideration by the expert working group on environmentally sound management;
6. *Requests* the expert working group to continue its work to develop draft practical manuals on extended producer responsibility and financing systems for environmentally sound management, and to make them available by 31 October 2016 for comments by parties and others;
7. *Invites* parties and others to submit comments on the first drafts of the draft practical manuals referred to in paragraph 6 to the Secretariat by 15 December 2016;
8. *Requests* the expert working group on environmentally sound management to:
 - (a) Revise the set of draft practical manuals and the draft fact sheets referred to in paragraph 3 above, taking into account the comments received pursuant to paragraphs 4 and 5 above for consideration and possible adoption by the Conference of the Parties at its thirteenth meeting;

¹ UNEP/CHW/OEWG.10/INF/7.

² UNEP/CHW/OEWG.10/INF/3.

³ UNEP/CHW/OEWG.10/INF/4.

(b) Revise the draft practical manuals on extended producer responsibility and financing systems for environmentally sound management referred to in paragraph 6 above, taking into account the comments received pursuant to paragraph 7 above for consideration by the Conference of the Parties at its thirteenth meeting;

9. *Requests* the Secretariat to develop an online survey to assess the relevance and utility of the Basel Convention documents related to environmentally sound management, as outlined in document UNEP/CHW/OEWG.10/INF/6, based on the guidance provided in the annex to the present decision, and to make it available to parties by 15 September 2016;

10. *Invites* parties to submit responses to the online survey by 15 November 2016;

11. *Requests* the Secretariat to prepare a report on the responses to the online survey and to submit it to the Conference of the Parties at its thirteenth meeting for its consideration.

Annex to decision OEWG-10/[...]

Guidance to the Secretariat for the development of an online survey to assess the relevance and utility of the Basel Convention documents related to environmentally sound management

1. Considerations for development of the survey:
 - (a) Completing the online survey is expected to take 10–15 minutes;
 - (b) The survey should not include too many open-ended questions;
 - (c) The responses to the survey should be presented in aggregated form, without references to specific countries.
2. Coverage of the survey:
 - (a) Usage:
 - (i) State which country is answering or on behalf of which country you are answering (The survey will only be made available to parties);
 - (ii) State who is answering the survey. (Specify the specific position or government agency the information is coming from);
 - (iii) Which of the following guidelines have you ever used? (List the documents as in the inventory set out in document UNEP/CHW/OEWG.10/INF/6);
 - (iv) How often do you use the guidelines mentioned in the answer to item 2 (a) (iii)? (Should point to each guideline and provide frequency – either on a time basis or usage basis);
 - (v) If you have not used any of the guidelines, why not? (For example the respondent was not aware of them; content is obsolete or not applicable (indicate which one); does not fit country needs (cost of implementation, technology needs, barriers, specific country situations); there was no guidance on the topics that the respondent was looking for (if yes, indicate what was looked for); there was no need to consult the guidelines, given that local or national legislation covers the issues);
 - (vi) Whether a country uses the guidelines but considers some of the content outdated;
 - (vii) List the guidelines over 10 years old and ask for specific feedback.
 - (b) Prioritization:
 - (i) Is anything in the guidelines inappropriate or obsolete? If yes, please elaborate;
 - (ii) If you answered “yes” to question 2(b) (i), please state who has used the guidelines and for what purpose. (This question is intended to elicit the audience and the purposes of usage.);
 - (iii) Please order the following potential uses of the guidelines, from most to least important:
 - a. National legislation;
 - b. Training;

- c. Awareness-raising;
 - d. Policy development;
 - (iv) Are you aware of any Basel Convention materials not mentioned in this survey that are relevant to parties, such as guidelines, technical documents, pilot project information?
 - (c) Dissemination:
 - (i) How do you access the guidelines? Do you have a preferred channel for doing so?
 - (ii) How do you disseminate the guidelines? Are there preferred channels?
 - (iii) Are there other topics that you need, or would like, guidelines to cover?
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